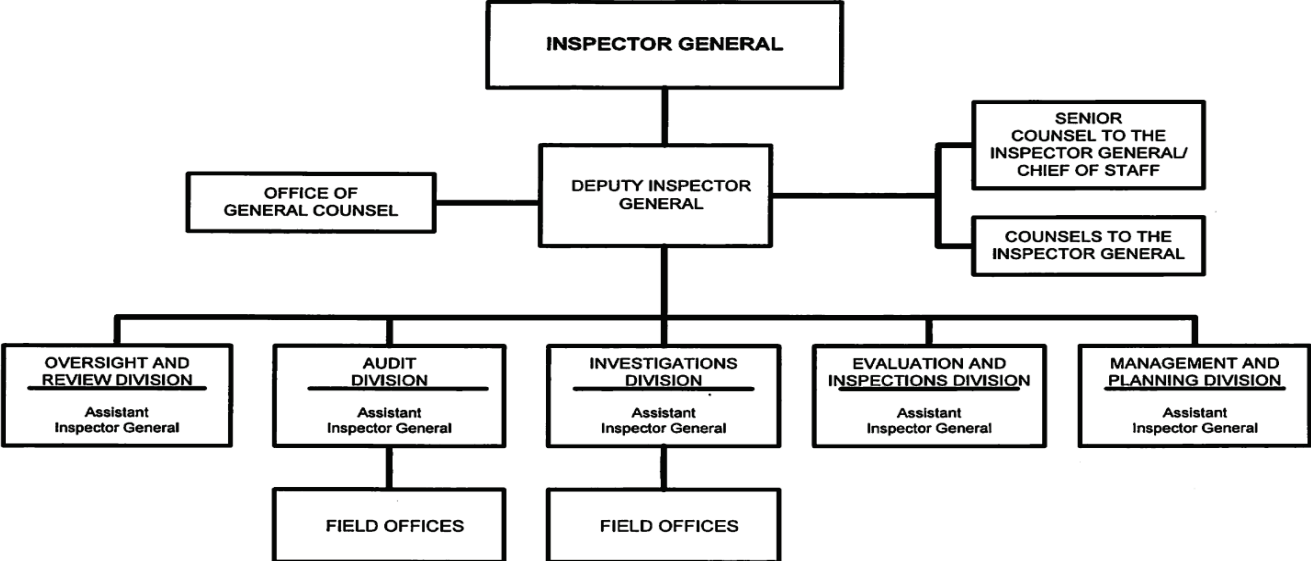


OFFICE OF THE INSPECTOR GENERAL



Approved by: Michael E. Horowitz Date: 9/27/12
Michael E. Horowitz
Inspector General

Summary of Requirements

Office of the Inspector General

Salaries and Expenses

(Dollars in Thousands)

	FY 2017 Request		
	Direct Pos.	Estimate FTE	Amount
2015 Enacted	474	423	88,577
Total 2015 Enacted	474	423	88,577
2016 Enacted	474	434	93,709
Base Adjustments			
Pay and Benefits	0	0	708
Domestic Rent and Facilities	0	0	1,223
Other Adjustments	0	0	32
Total Base Adjustments	0	0	1,963
Total Technical and Base Adjustments	0	0	1,963
2017 Current Services	474	434	95,672
Program Changes			
Increases:			
Whistleblower Protection	6	6	1,202
IT Telecommunication Upgrade	0	0	940
Subtotal, Increases	6	6	2,142
Total Program Changes	6	6	2,142
2017 Total Request	480	440	97,814
2016 - 2017 Total Change	6	6	4,105

Summary of Requirements
Office of the Inspector General
Salaries and Expenses
(Dollars in Thousands)

Program Activity	FY 2015 Enacted			FY 2016 Enacted			FY 2017 Technical and Base Adjustments			FY 2017 Current Services		
	Direct Pos.	Est. FTE	Amount	Direct Pos.	Est. FTE	Amount	Direct Pos.	Est. FTE	Amount	Direct Pos.	Est. FTE	Amount
OIG Audits, Inspections, Investigations, and Reviews	474	423	88,577	474	434	93,709	0	0	1,963	474	434	95,672
Total Direct	474	423	88,577	474	434	93,709	0	0	1,963	474	434	95,672
Balance Rescission			0			0			0			0
Total Direct with Rescission			88,577			93,709			1,963			95,672
Reimbursable FTE		21			21			0			21	
Total Direct and Reimb. FTE		444			455			0			455	
Other FTE:												
LEAP		0			0			0			0	
Overtime		0			0			0			0	
Grand Total, FTE		444			455			0			455	

Program Activity	2017 Increases			2017 Offsets			2017 Request		
	Direct Pos.	Est. FTE	Amount	Direct Pos.	Est. FTE	Amount	Direct Pos.	Est. FTE	Amount
OIG Audits, Inspections, Investigations, and Reviews	6	6	2,142	0	0	0	480	440	97,814
Total Direct	6	6	2,142	0	0	0	480	440	97,814
Balance Rescission			0			0			0
Total Direct with Rescission			2,142			0			97,814
Reimbursable FTE		0			0			21	
Total Direct and Reimb. FTE		6			0			461	
Other FTE:									
LEAP		0			0			0	
Overtime		0			0			0	
Grand Total, FTE		6			0			461	

FY 2017 Program Increases/Offsets by Decision Unit

Office of the Inspector General

Salaries and Expenses

(Dollars in Thousands)

Program Increases	Location of Description by Program Activity	OIG Audits, Inspections, Investigations, and Reviews				Total Increases			
		Direct Pos.	Agt./Atty.	Est. FTE	Amount	Direct Pos.	Agt./Atty.	Est. FTE	Amount
Whistleblower Protection		6	5	6	1,202	6	5	6	1,202
IT Telecommunication Upgrade		0	0	0	940	0	0	0	940
Total Program Increases		6	5	6	2,142	6	5	6	2,142

Program Offsets	Location of Description by Program Activity	OIG Audits, Inspections, Investigations, and Reviews				Total Offsets			
		Direct Pos.	Agt./Atty.	Est. FTE	Amount	Direct Pos.	Agt./Atty.	Est. FTE	Amount
No Program Offsets									
Total Program Offsets									

Resources by Department of Justice Strategic Goal and Objective
 Office of the Inspector General
 Salaries and Expenses
 (Dollars in Thousands)

Strategic Goal and Strategic Objective	FY 2015 Enacted		FY 2016 Enacted		FY 2017 Current Services		FY 2017 Increases		FY 2017 Offsets		FY 2017 Total Request	
	Direct & Reimb FTE	Direct Amount	Direct & Reimb FTE	Direct Amount	Direct & Reimb FTE	Direct Amount	Direct & Reimb FTE	Direct Amount	Direct & Reimb FTE	Direct Amount	Direct & Reimb FTE	Direct Amount
Goal 2 Prevent crime, protect the rights of the American people, and enforce federal law												
2.6 Protect the federal fisc and defend the interests of the United States	444	88,577	455	93,709	455	95,511	6	2,142	0	0	461	97,653
Subtotal, Goal 2	444	88,577	455	93,709	455	95,511	6	2,142	0	0	461	97,653
TOTAL	444	88,577	455	93,709	455	95,511	6	2,142	0	0	461	97,653

Justifications for Technical and Base Adjustments

Office of the Inspector General

Salaries and Expenses

(Dollars in Thousands)

	Direct Pos.	Estimate FTE	Amount
Pay and Benefits			
<p>1 <u>2017 Pay Raise - 1.6%</u> This request provides for a proposed 1.6 percent pay raise to be effective in January of 2017. The amount requested, \$683,000, represents the pay amounts for 3/4 of the fiscal year plus appropriate benefits (\$599,000 for pay and \$245,000 for benefits.)</p>	0	0	844
<p>2 <u>Annualization of 2016 Pay Raise</u> This pay annualization represents first quarter amounts (October through December) of the 2016 pay increase of 1.3 percent included in the 2016 President's Budget. The amount requested \$196,000, represents the pay amounts for 1/4 of the fiscal year plus appropriate benefits (\$139,000 for pay and \$57,000 for benefits).</p>	0	0	196
<p>3 <u>Changes in Compensable Days</u> The decreased cost for two compensable day in FY 2017 compared to FY 2016 is calculated by dividing the FY 2016 estimated personnel compensation \$358,000 and applicable benefits \$146,000 by 262 compensable days is -\$504,000.</p>	0	0	-504
<p>4 <u>Health Insurance</u> Effective January 2017, the component's contribution to Federal employees' health insurance increases by 4.1 percent. Applied against the 2016 estimate of \$3,334,000, the additional amount required is \$126,000.</p>	0	0	126
<p>5 <u>Retirement</u> Agency retirement contributions increase as employees under CSRS retire and are replaced by FERS employees. Based on U.S. Department of Justice Agency estimates, we project that the DOJ workforce will convert from CSRS to FERS at a rate of 0.8 percent per year, for both LEO and Non-LEO, based on the past 5 years of DOJ retirement data. The requested increase of \$46,000 is necessary to meet our increased retirement obligations as a result of this conversion.</p>	0	0	46
Subtotal, Pay and Benefits	0	0	708

Justifications for Technical and Base Adjustments

Office of the Inspector General
Salaries and Expenses
(Dollars in Thousands)

	Direct Pos.	Estimate FTE	Amount
Domestic Rent and Facilities			
1 <u>GSA Rent</u> GSA will continue to charge rental rates that approximate those charged to commercial tenants for equivalent space and related services. The requested increase of \$15,000 is required to meet our commitment to GSA. The costs associated with GSA rent were derived through the use of an automated system, which uses the latest inventory data, including rate increases to be effective FY 2017 for each building currently occupied by Department of Justice components, as well as the costs of new space to be occupied.	0	0	15
2 <u>Guard Service</u> This includes Department of Homeland Security (DHS) Federal Protective Service charges, Justice Protective Service charges and other security services across the country. The requested increase of \$17,000 is required to meet these commitments.	0	0	17
3 <u>Moves - FY 2017</u> GSA requires all agencies to pay relocation costs associated with lease expirations. This request provides for the costs associated with new office relocations caused by the expiration of leases in FY 2017.	0	0	1,191
Subtotal, Domestic Rent and Facilities	0	0	1,223
Other Adjustments			
1 <u>Security Investigations</u> The \$32,000 increase reflects payments to the Office of Personnel Management for security reinvestigations for employees requiring security clearances.	0	0	32
Subtotal, Other Adjustments	0	0	32
TOTAL DIRECT TECHNICAL and BASE ADJUSTMENTS	0	0	1,963

Crosswalk of 2015 Availability

Office of the Inspector General

Salaries and Expenses

(Dollars in Thousands)

Program Activity	FY 2015 Enacted			Reprogramming/Transfers			Carryover	Recoveries/ Refunds	FY 2015 Availability		
	Direct Pos.	Est. FTE	Amount	Direct Pos.	Est. FTE	Amount	Amount	Amount	Direct Pos.	Est. FTE	Amount
OIG Audits, Inspections, Investigations, and Reviews	474	423	88,577	0	0	0	0	0	474	423	88,577
Total Direct	474	423	88,577	0	0	0	0	0	474	423	88,577
Balance Rescission			0			0	0	0			0
Total Direct with Rescission			88,577			0	0	0			88,577
Reimbursable FTE		21			0					21	
Total Direct and Reimb. FTE		444			0					444	
Other FTE:											
LEAP FTE		0			0					0	
Overtime		0			0					0	
Grand Total, FTE		444			0					444	

Reprogramming/Transfers:

Carryover:

Recoveries/Refunds:

Crosswalk of 2016 Availability

Office of the Inspector General

Salaries and Expenses

(Dollars in Thousands)

Program Activity	FY 2016 Enacted			Reprogramming/Transfers			Carryover	Recoveries/ Refunds	FY 2016 Availability		
	Direct Pos.	Est. FTE	Amount	Direct Pos.	Est. FTE	Amount	Amount	Amount	Direct Pos.	Est. FTE	Amount
OIG Audits, Inspections, Investigations, and Reviews	474	434	93,709	0	0	0	0	0	474	434	93,709
Total Direct	474	434	93,709	0	0	0	0	0	474	434	93,709
Balance Rescission			0			0	0	0			0
Total Direct with Rescission			93,709			0	0	0			93,709
Reimbursable FTE		21			0					21	
Total Direct and Reimb. FTE		455			0					455	
Other FTE:											
LEAP FTE		0			0					0	
Overtime		0			0					0	
Grand Total, FTE		455			0					455	

Reprogramming/Transfers:

Carryover:

Recoveries/Refunds:

Summary of Reimbursable Resources

Office of the Inspector General

Salaries and Expenses

(Dollars in Thousands)

Collections by Source	2015 Estimate			2016 Estimate			2017 Request			Increase/Decrease		
	Reimb. Pos.	Reimb. FTE	Amount	Reimb. Pos.	Reimb. FTE	Amount	Reimb. Pos.	Reimb. FTE	Amount	Reimb. Pos.	Reimb. FTE	Amount
Asset Forfeiture Fund	2	2	996	2	2	1,061	2	2	1,076	0	0	15
Council of the IGs on Integrity and Efficiency	0	0	44	0	0	159	0	0	131	0	0	-28
Working Capital Fund	7	7	1,728	7	7	1,887	7	7	1,927	0	0	40
Federal Bureau of Investigation	2	2	1,260	2	2	1,232	2	2	1,264	0	0	32
Federal Prison Industries	2	2	969	2	2	1,034	2	2	1,048	0	0	14
Federal Prison System	2	2	858	2	2	870	2	2	890	0	0	20
Offices, Boards, and Divisions	6	6	5,387	6	6	5,241	6	6	5,317	0	0	76
Budgetary Resources	21	21	11,242	21	21	11,484	21	21	11,653	0	0	169

Obligations by Program Activity	2015 Estimate			2016 Estimate			2017 Request			Increase/Decrease		
	Reimb. Pos.	Reimb. FTE	Amount	Reimb. Pos.	Reimb. FTE	Amount	Reimb. Pos.	Reimb. FTE	Amount	Reimb. Pos.	Reimb. FTE	Amount
OIG Audits, Inspections, Investigations, and Reviews	21	21	11,360	21	21	11,484	21	21	11,653	0	0	169
Budgetary Resources	21	21	11,360	21	21	11,484	21	21	11,653	0	0	169

Detail of Permanent Positions by Category

Office of the Inspector General
Salaries and Expenses
(Dollars in Thousands)

Category	FY 2015 Enacted		FY 2016 Enacted		FY 2017 Request				
	Direct Pos.	Reimb. Pos.	Direct Pos.	Reimb. Pos.	ATBs	Program Increases	Program Offsets	Total Direct Pos.	Total Reimb. Pos.
Miscellaneous Operations (001-099)	6	0	6	0	0	0	0	6	0
Security Specialists (080)	3	0	3	0	0	0	0	3	0
Personnel Management (0200-0260)	7	0	7	0	0	0	0	7	0
Clerical and Office Services (0300-0399)	160	3	160	3	0	0	0	160	3
Misc Admin & Prog (0301)	0	0	0	0	0	1	0	1	0
Accounting and Budget (500-599)	95	11	95	11	0	0	0	95	11
Paralegals / Other Law (900-998)	5	0	5	0	0	0	0	5	0
Attorneys (905)	30	0	30	0	0	5	0	35	0
Operations Research Analyst	2	0	2	0	0	0	0	2	0
Misc. Inspectors/Investigative Assistants (1802)	9	0	9	0	0	0	0	9	0
Criminal Investigative Series (1811)	139	0	139	0	0	0	0	139	0
Information Technology Mgmt (2210-2299)	18	7	18	7	0	0	0	18	7
Total	474	21	474	21	0	6	0	480	21
Headquarters Washington D.C.	228	21	228	21	0	6	0	234	21
US Fields	246	0	246	0	0	0	0	246	0
Foreign Field	0	0	0	0	0	0	0	0	0
Total	474	21	474	21	0	6	0	480	21

Financial Analysis of Program Changes

Office of the Inspector General

Salaries and Expenses

(Dollars in Thousands)

Grades	OIG Audits, Inspections, Investigations, and Reviews				Total Program Changes	
	Program Increases		Program Decreases		Direct Pos.	Amount
	Direct Pos.	Amount	Direct Pos.	Amount		
GS-15	5	823	0	0	5	823
GS-13	1	134	0	0	1	134
Total Positions and Annual Amount	6	957	0	0	6	957
Lapse (-)	0	-215	0	0	0	-215
11.5 - Other personnel compensation		0		0		0
Total FTEs and Personnel Compensation	6	742	0	0	6	742
12.1 - Civilian personnel benefits		225		0		225
21.0 - Travel and transportation of persons		29		0		29
22.0 - Transportation of things		6		0		6
23.3 - Communications, utilities, and miscellaneous charges		16		0		16
24.0 - Printing and reproduction		6		0		6
25.2 - Other services from non-federal sources		37		0		37
25.3 - Other goods and services from federal sources		37		0		37
25.6 - Medical care		6		0		6
26.0 - Supplies and materials		6		0		6
31.0 - Equipment		1,032		0		1,032
Total Program Change Requests	6	2,142	0	0	6	2,142

Summary of Requirements by Object Class

Office of the Inspector General

Salaries and Expenses

(Dollars in Thousands)

Object Class	FY 2015 Enacted		FY 2016 Enacted		FY 2017 Request		Increase/Decrease	
	Act. FTE	Amount	Direct FTE	Amount	Direct FTE	Amount	Direct FTE	Amount
11.1 - Full-time permanent	423	45,183	434	47,256	440	49,044	6	1,788
11.3 - Other than full-time permanent	0	1,102	0	1,113	0	1,128	0	15
11.5 - Other personnel compensation	0	3,624	0	3,660	0	3,770	0	110
<i>Overtime</i>	0	0	0	0	0	0	0	0
<i>Other Compensation</i>	0	0	0	0	0	0	0	0
11.8 - Special personal services payments	0	0	0	0	0	0	0	0
Total	423	49,909	434	52,029	440	53,942	6	1,913
Other Object Classes								
12.1 - Civilian personnel benefits		17,622		19,046		19,065	0	19
13.0 - Benefits for former personnel		20		20		20	0	0
21.0 - Travel and transportation of persons		2,000		2,100		2,130	0	30
22.0 - Transportation of things		145		145		151	0	6
23.1 - Rental payments to GSA		9,258		10,085		10,900	0	815
23.2 - Rental payments to others		461		488		488	0	0
23.3 - Communications, utilities, and miscellaneous charges		1,334		1,420		1,436	0	16
24.0 - Printing and reproduction		8		8		8	0	0
25.1 - Advisory and assistance services		2,279		2,279		2,279	0	0
25.2 - Other services from non-federal sources		2,050		2,050		2,087	0	37
25.3 - Other goods and services from federal sources		2,164		2,752		2,066	0	-686
25.4 - Operation and maintenance of facilities		20		182		182	0	0
25.6 - Medical care		156		156		162	0	6
25.7 - Operation and maintenance of equipment		375		392		392	0	0
26.0 - Supplies and materials		244		244		250	0	6
31.0 - Equipment		413		313		1,065	0	752
32.0 - Land and structures		0		0		1,191	0	1,191
Total Obligations		88,458		93,709		97,814	0	4,105
Net of:								
Unobligated Balance, Start-of-Year		0		0		0	0	0
Transfers/Reprogramming		0		0		0	0	0
Recoveries/Refunds		0		0		0	0	0
Balance Rescission		0		0		0	0	0
Unobligated End-of-Year, Available		0		0		0	0	0
Unobligated End-of-Year, Expiring		102		0		0	0	0
Total Direct Requirements		88,560		93,709		97,814		4,105
Reimbursable FTE								
Full-Time Permanent	21		21		21		0	0

Status of Congressionally Requested Studies, Reports, and Evaluations

Office of the Inspector General

Salaries and Expenses

1. The Consolidated Appropriation Act FY 2014, S. Report, Title II, page 89 OIG shall conduct audits and oversight of funds provided under the Comprehensive School Safety Initiative. The OIG shall also review concerns raised by the public about specific investments using funds made available in this program, and relay findings of their reviews to the Director of the NIJ and the Committees on Appropriations. NIJ and COPS have advised OIG that these initiatives will be funded in 2016.
2. The Consolidated Appropriation Act FY 2015, S. Report, Title II, page 73, directs the OIG to report to the Committees on Appropriations not later than 180 days after the date of enactment of this Act on the impact of Section 218 of this Act, which is designed to improve OIG access to Department documents and information. The OIG issued its report in June 2015.
3. The Consolidated Appropriation Act FY 2015, H. Report, Title II, page 42, directs the OIG to review the Department's enforcement of the Foreign Agents Registration Act (FARA). This requirement is being assessed and does not have a due date.
4. The Consolidated Appropriation Act FY 2016, S. Report, Title II, page 113, directs the OIG to report to the Committees on Appropriations not later than 180 days after the date of enactment of this Act. The Committee retains bill language providing the Inspector General access to documents and other materials at the Department of Justice, which may be necessary in the normal conduct of the Inspector General's duties. The Committee asks the Inspector General to report on the effectiveness of this provision. Target response to Committee is July 2016.
5. The Consolidated Appropriation Act FY 2016, H. Report, Title II, page 30, directs the OIG to report to the Committees on Appropriations not later than 90 days after the date of enactment of this Act--until the Attorney General demonstrates to the Committee that the Department and agencies have implemented or are in the process of implementing the OIG recommendations accompanying those reports. Target response to Committee is April 2016.

Additional Required Information
Office of the Inspector General

The Inspector General Reform Act of 2008 (P.L. 110-409) requires that the Department of Justice OIG submit the following information related to its requested budget for Fiscal Year 2017:

*the aggregate budget request for the operations of the OIG is \$97,814,000;

*the requested amount includes \$1,048,000 to support the operations of the Council of the Inspectors General on Integrity and Efficiency (CIGIE).

*the portion of this amount needed for OIG training is \$680,000;

The Inspector General of the Department of Justice certifies that the amount requested for training satisfies all OIG training needs for FY 2017.